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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/752,070	12/29/2000	Sada L. Reddy	1874-04	7727

7590 12/15/2004

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EXAMINER

HAMILTON, LALITA M

ART UNIT	PAPER NUMBER
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3624

DATE MAILED: 12/15/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/752,070

Applicant(s)

REDDY, SADA L.

Examiner

Lalita M Hamilton

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-33 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-33 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_.
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_.
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_.

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-33 are rejected under 35 U.S.C. 102(e) as being anticipated by Powell (US 2004/0220881).

Powell discloses a method and corresponding system and computer program product for facilitating idea submission, purchase, and licensing and innovation transfer comprising a computer network for interactively enabling investment opportunities for investors, wherein said computer network has at least one client connectable to one or more servers, designating a database wherein entrepreneur-provided data and investor-provided data are stored, analyzing said investor-provided data, and automatically generating particular entrepreneur-provided data, response to identifying particular entrepreneur-provided data correspondent to said analyzed investor-provided data, based on a set of predetermined matching criteria, thereby permitting an investor to interactively access entrepreneur-provided data customized to the needs of said investor via said computer network (p.6, 72-76; p.10, 109-111; p.12, 144-145; and p.17, 191-192); identifying particular entrepreneur-provided data correspondent to said

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analyzed investor-provided data, based on a set of predetermined matching criteria, in response to analyzing said investor-provided data (p.6, 72-76; p.10, 109-111; p.12, 144-145; and p.17, 191-192); prompting an investor to input investor data to a web-based site, recording said investor data as investor-provided data, in response to input of said investor data to said web-based site, and compiling an investor profile based on said investor-provided data, in response to recording said investor data as investor-provided data (p.6, 72-76; p.10, 109-111; p.12, 144-145; and p.17, 191-192); permitting an investor to input investor data to a web-based site within said computer network (p.3, 21-22; p.6, 72-76; p.10, 109-111; p.12, 144-145; and p.17, 191-192); permitting an investor to access said web-based site utilizing unique access criteria (p.3, 21-22; p.6, 72-76; p.10, 109-111; p.12, 144-145; and p.17, 191-192); permitting said investor to access said investor profile (p.3, 21-22; p.6, 72-76; p.10, 109-111; p.12, 144-145; and p.17, 191-192); modifying said investor profile, in response to receipt of newly submitted investor-provided data (p.3, 21-22; p.6, 72-76; p.10, 109-111; p.12, 144-145; and p.17, 191-192); graphically displaying particular entrepreneur-provided data, in response to automatically generating particular entrepreneur-provided data and cloaking said graphically displayed particular entrepreneur-provided data, if said entrepreneur-provided data was previously specified as cloaked entrepreneur-provided data (p.3, 21-22; p.6, 72-76; p.10, 109-111; p.12, 144-145; and p.17, 191-192—system possesses capability); graphically displaying said particular entrepreneur-provided data as uncloaked entrepreneur-provided data, in response to automatically generating particular entrepreneur-provided data, if said entrepreneur-provided data was previously

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specified as uncloaked entrepreneur-provided data (p.3, 21-22; p.6, 72-76; p.10, 109-111; p.12, 144-145; and p.17, 191-192—system possesses capability); linking said investor to at least one entrepreneur associated with said uncloaked entrepreneur-provided data, in response to a particular entrepreneur input (p.3, 21-22; p.6, 72-76; p.10, 109-111; p.12, 144-145; and p.17, 191-192); each of said modules residing in a computer further comprise signal-bearing media (p.6, 72-76; p.10, 109-111; p.12, 144-145; and p.17, 191-192); signal-bearing media further comprises transmission media (p.6, 72-76; p.10, 109-111; p.12, 144-145; and p.17, 191-192); and signal bearing media further comprises recordable media (p.6, 72-76; p.10, 109-111; p.12, 144-145; and p.17, 191-192).

***Provisional Application Listed on PTO-892 form***

If a copy of a provisional application listed on the bottom portion of the accompanying Notice of References Cited (PTO-892) form is not included with this Office action and the PTO-892 has been annotated to indicate that the copy was not readily available, it is because the copy could not be readily obtained when the Office action was mailed. Should applicant desire a copy of such a provisional application, applicant should promptly request the copy from the Office of Public Records (OPR) in accordance with 37 CFR 1.14(a)(1)(iv), paying the required fee under 37 CFR 1.19(b)(1). If a copy is ordered from OPR, the shortened statutory period for reply to this Office action will not be reset under MPEP § 710.06 unless applicant can demonstrate a substantial delay by the Office in fulfilling the order for the copy of the provisional application. Where the applicant has been notified on the PTO-892 that a

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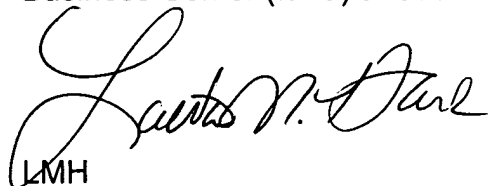
copy of the provisional application is not readily available, the provision of MPEP § 707.05(a) that a copy of the cited reference will be automatically furnished without charge does not apply.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lalita M Hamilton whose telephone number is (703) 306-5715. The examiner can normally be reached on Tuesday-Thursday (8:30-4:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vincent Millin can be reached on (703) 308-1065. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
LMH